TEERTHANKER MAHAVEER UNIVERSITY

(Established under Govt. of U. P. Act No. 30, 2008)

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Ref. No : TMU/R.O./2025-26/Adm/02 Date: 03-July-2025

Circular

Subject- Amendment to Clause 3.2 of TMU Regulations on Financial Assistance to faculty for promotion of research and students' participation in extra-curricular activities (Second Amendment-April 2025)

With reference to the TMU Regulations on Financial Assistance to faculty for promotion of research and students' participation in extra-curricular activities (Second Amendment-April 2025), the University has approved an amendment to clause 3.2 of the regulations, introducing the following criteria to incentivize book publication:

1. Any text/reference book covering full/part syllabus of any course offered by a University or is based on emerging/new technologies with a minimum number of 150 pages.
2. An edited book on any topic of National & International relevance/ current technology with a minimum of 12 Chapters or 150 pages.
3. An edited book which is an outcome of any National/ International Conference with a minimum of 15 Chapters or 150 pages.
4. The book must possess a valid ISBN and be published by a nationally or internationally recognized publisher with a well-established peer review mechanism.
5. Any book published by a National/ International publisher which is an outcome of PhD, Master's thesis/ dissertation work will not be considered for the incentive.
6. Any other type of book that does not fall under the categories specified in s.no. 1 to 3 shall not be eligible for incentive consideration.

The other provisions of the above said Regulations shall remain effective as was notified via email dated April 12, 2025.

This is issued with the approval of Hon'ble Vice Chancellor.

Dr. Vaibhav Rastogi
Joint Registrar
Teerthanker Mahaveer Universi
Moradabad

Dr. Vaibhav Rastogi
(Joint Registrar)

Copy to:-

I

1. P.S. to Hon'ble Chancellor for info. Please
2. P.S. to Hon'ble Group Vice Chairman for info. Please
3. Hon'ble Executive Director
4. Registrar
5. Director (Administration)
6. Director- Governance (Dental & Allied Health Sciences)
7. Director HR
8. Director (Accounts)
9. Director (IQAC)
10. Finance Officer
11. Guard File

II

1. Hon'ble Vice Chancellor
2. Dean (Academics)
3. Controller of Examinations
4. Deans/ Principals/ HoDs
5. Dean Students' Welfare
6. Director (CTLD)
7. Director (CDOE)
8. Associate Dean (R&D)
9. Associate Dean (Academics)
10. Associate Dean (Ph.D. Cell)
11. University Librarian

TMU Regulations on Financial Assistance to Faculty for Promotion of Research and students' participation in extracurricular activities, 2021

(First Amendment-September 2022)
(Second Amendment-April 2025)

Accredited with NAAC A Grade
12-B Status from UGC

TEERTHANKER MAHAVEER UNIVERSITY
MORADABAD

TEERTHANKER MAHAVEER UNIVERSITY

MORADABAD – 244001 (U.P.)

TMU REGULATIONS ON FINANCIAL ASSISTANCE TO FACULTY FOR THE PROMOTION OF RESEARCH AND STUDENTS' PARTICIPATION IN EXTRACURRICULAR ACTIVITIES

In exercise of the powers conferred under section 35 of the U.P. Private Universities Act, 2019 and in suppression of Regulations, 2008 together with all amendments (if any) made there-in from time to time, the University brings the Second Amendment in these Regulations the following Regulations, namely:

1. **Short Title, application and commencement:**

   1.1 These Regulations may be called Teerthanker Mahaveer University Regulations on Financial Assistance to faculty for promotion of research and students' participation in extra-curricular activities (Second Amendment-April 2025).
   1.2 These shall apply to permanent/regular teaching and other academic staff in all the departments/colleges of the University.
   1.3 These shall be effective from the date of its notification.
   1.4 These amendments shall be ratified during the next meeting of Executive Council.
   1.5 The terms and conditions of financial assistance to the University Teachers, and students shall be provided by these Regulations.
   1.6 In case of any discrepancy arising out of these Regulations, the decision of the Vice Chancellor shall be final.

2. **Objectives:**

   To promote excellence in research in various departments/colleges of the University and support an ecosystem for research and extra-curricular activities amongst students, the University has framed these regulations.

3. **Schemes and Nature of Assistance:**

   3.1 Faculty Participation in Conferences/Seminars/Workshops/FDPs (Personal Development Fund):

   i. Faculty who has completed at least one year of service in Teerthanker Mahaveer University, shall be eligible for University sponsored research paper presentation in any conference/seminar/workshop/FDPs/Refresher courses/Induction Programs organized at State, National or International level by the institutions/organizations of national/international repute eg. UGC, AICTE, CII, AIIMs, IITs, NITs IIMs, NLUS, central universities or equivalent HEIs (universities only) having NAAC grade A+ or NIRF ranking

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upto 150. In case of faculty members from Medical, the conference must be organized by the recognized bodies as per the **Annexure-01**. To avail such facility, the faculty must have completed one year of service in the University. A board consisting of the concerned college Principal as the Chairperson and Head of the Department shall see the proposal and may ask the faculty for a presentation of the accepted paper. The Board, if so found by giving the reasons thereof, may reject the case. In case it is accepted, the same shall be forwarded to the Vice Chancellor for his approval for financial assistance. A faculty shall only be permitted to present the paper in the conference, if the conferences proceedings (full paper) with ISSN/ISBN is published. Paper publication in Conference proceedings for medical faculty is not required. Similar condition shall also apply in other disciplines if the institutions of National Repute as mentioned above " in the same para" do not publish conference proceedings.
Note: Incentive for paper publications as above shall not be given.

The financial assistance per annum in this category shall be as follows (Up to a maximum of 02 conferences/seminars/FDPs in a year):

S.No.	**Level**	**Professional Development Fund *(TA/ DA, Accommodation & Registration fee)**
1	Professor	Up to Rs 40000/-
2	Associate Professor	Up to Rs 30000/-
3	Assistant Professor	Up to Rs 25000/-

* TA/ DA, Accommodation according to eligibility rules of the University.

In case the actual expenses for participation in the conferences/seminars/workshops are less than the maximum permissible limit as mentioned above, then the reimbursement shall be on actuals.
The hotel charges approved for the above are:
Professor: Rs 5000/- inclusive all taxes
Associate Professor: Rs 4000/- inclusive of all taxes
Assistant Professor: Rs 3000/- inclusive of all taxes
In case, the reimbursement of TA/DA and accommodation is made by the organizers of the conference, then no assistance as above shall be given against the TA/DA and accommodation heads.

ii. In case the University deputes the faculty to attend a particular seminar/conference/workshop or event of similar nature and the University administration finds that the representation by the University in such events is in the interest of the University and the same is approved by the Vice Chancellor,

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he/she shall be entitled for the reimbursement which will be over & above the Individual entitlement.

The faculty attending such events as mentioned in (i) & (ii) shall be entitled for an academic leave (AL) or on duty leave (ODL) as per the prevailing University leave rules.

iii. **Re-imbursement for life membership fee of professional bodies**:
In this scheme, the University shall re-imburse 50% of life membership of professional bodies as per the **Annexure-02**. This shall be applicable only to the Professors of the University who have completed at least two years of service with TMU. However, the total amount so spent on membership shall not exceed 25% of the authorized amount as mentioned in sub-clause (i) of this scheme in a given year.

3.2 Incentives for Research publication:

To encourage the faculty for contributing towards the research publications, the University shall provide incentives as per the following scheme:

S.No.	Database/level of journals for publication	Incentive (Rs)
1.	Publication/Letter to editor in Peer Reviewed Journals $	6000
2.	Publication in journals indexed in Scopus (Q4), Web of Science (eSCI, AHCI), ABDC C category journals	25000
3.	Publication in journals indexed in PubMed	30000
4.	Publication in journals indexed in Web of Science (SSCI/SCIE), Scopus (Q3), ABDC B category journals	50000
5.	Publication in journals indexed in Scopus(Q2), ABDC A category	75000
6.	Publication in journals indexed in Scopus(Q1), ABDC A* category	100000
7.	Book by National publisher with established peer review system	20000
8.	Book by International publisher with established peer review system#	40000
9.	Book Chapter/Conference Proceedings in a book of National publisher with established peer review system and having an ISBN. Book review published in peer reviewed Journal (National/International)	4000
10.	Chapter/Conference Proceedings in a book of International publisher with established peer review system and having an ISBN	8000

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11	(i)	Patent Filling	On actual Govt. Fees
	(ii)	Patent Publication	5000
	(iii)	Patent Grant	7500

 # In special cases of Book publications with Internationally renowned publishers such as Nature, Elsevier, Lancet, Springer, or any other reputed publisher, a pre-approved amount of Rs. 1,00,000/- may be granted upon the Hon'ble Vice Chancellor's approval and successful publication of the book.
In case a publication has multiple indexing the incentive would be paid as per the highest indexing as mentioned above.
$ To be considered 'on hold' until the new NAAC guidelines are issued.

The faculty member has to properly acknowledge the name of Department/College and University (Teerthanker Mahaveer University) in the research paper and a copy of the research paper shall have to be submitted in the University. The total amount fixed for funding per annum in research activities in this category be such as decided by the Executive Council which can be reviewed from time to time. TMU faculty member listed first in the author sequence will receive the full incentive. He/she may distribute the incentive amount to respective co-authors (internal/external) based on their contribution and understanding. The same holds true in case the faculty publishes the paper in collaboration with another University. No research incentive would be given to the faculty members/research scholars towards the mandatory publications which is the outcome of PhD work. It is expected to avoid publications in predatory journals. Faculty should be cautious of such journals before submitting their work for publishing. The details of the publications to be submitted by the faculty members in the ERP portal and subsequently to be verified by the R&D Cell of the University and duly forwarded to Hon'ble Vice Chancellor for the approval.

3.3 Promotion for Intellectual Property (IP) from Research like Patents/Start-ups/Industrial Designs/Copy Rights:

<u>Description of Terms</u>

a. Author: An author is as defined under Section 2(d) of the Copyright Act, 1957*.

**Section 2(d) defines author, it says "Author" means –*
(1) In relation to a literary or dramatic work, the author of the work;
(2) In relation to a music work, the composer;
(3) In relation to artistic work other than a photograph, the artist;
(4) In relation to photograph, the person taking the photograph, the artist;
(5) In relation to a cinematograph film or sound recording, the producer; and
(6) In relation to any literary, dramatic, musical or artistic work which is computer- generated, the person who causes the work to be created.

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b. Collaborative Activity: is the research undertaken by the personnel in academic institution, in cooperation with industry and/or another researcher(s), who are not the personnel from the academic institution.

c. Creator: means the researcher who contributed to the creation of the Intellectual Property (IP)(essentially copyrights, designs, etc.).

d. External Partners: includes Government of India, State Government(s), Local Self-Governments, Government Departments, Foreign Governments, International Organizations, Public Sector Undertakings (PSUs), all types of Private Sector Organizations, multinational Corporations, Non-Governmental Organizations, and/or other institutions that provide research projects or consultancy assignments to researchers on regular or irregular basis; or any combination(s) of the above.

e. Intellectual Property: Intellectual Property, as provided under Article I of the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPS), refers to all categories of intellectual property that are subject of Sections 1 to 7 of Part II of the **TRIPS Agreement**.

f. Intellectual Property Rights: means ownership and associated rights relating to aforementioned Intellectual Property, either registered or unregistered, and including applications or rights to apply for them and together with all extensions and renewals of them, and in each and every case, all rights or forms of protection having equivalent or similar effect anywhere in the world. The IPRs recognized in India are broadly listed below:
Patent: As defined under Section 2(m) of the Patents Act, 1970.
Copyright: Copyright is a right given to creators of literary, dramatic, musical and artistic works and producers of cinematograph films and sound recordings. Works are as defined under the Copyright Act, 1957.

g. Inventor: means the researcher who contributed to the creation of the Intellectual Property (essentially patents).

h. Research: Ownership rights over IP generated in the University may vary as per source of funding, for the research through which IP was generated. Hence, it is important to understand the different contexts in which IP may be generated within the University. Some of the important contexts in which they produce IP are:
1. Research undertaken by a researcher in the normal course of his/her engagement/appointment with the University, utilizing resources of the University. This includes, but is not limited to, use of space, facilities, materials, or other resources of the University, specific monetary support for research through grants or fellowships, funds for procuring books/ equipment or

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materials for specific research projects, and creation/ modification of infrastructure like labs for the specific needs of research.

2. Research undertaken by a researcher in collaboration with an external partner. This support from external partners includes, but is not limited to, specific monetary support given for research through grants or fellowships.

i. Researcher: means;

1. persons employed by the University, including student employees and technical staff;
2. students, including undergraduate, postgraduate, doctoral and post-doctoral students of the University;
3. any persons, including visiting scientists;
4. who use the resources of the University and who perform any research task at the University or otherwise participate in any research project(s) administered by the University, including those funded by external sponsors. Wherever different conditions are applicable for any of the sub-categories of researchers, they are specifically mentioned in the guidelines.

j. Research Agreement: Refers to Research Service Agreement, Cooperative Research and Development Agreement, Material Transfer Agreement, Confidentiality Agreement, Consultancy Agreement and any other type of agreement concerning research pursued by researchers and/or IP created at the University.

k. Royalty: It is the payment made to an inventor/author or an institution for legal use of a patented invention or any intellectual property when licensed.

l. Sufficient Disclosure: It means providing a detailed description of features essential for carrying out the invention, in order to render it apparent how to put the invention into practice to a person skilled in the art.

3.3.1 Patents/Industrial Designs:

ii. All inventions made by the students/researcher/faculty (in furtherance of the knowledge creation by the University), developed by utilizing the resources of the University, or with the mix of funds, resources, and/or facilities of the University, shall ordinarily be vested with the University.

iii. If the University determines that an invention was made by individual(s) on his/her own time and unrelated to his/her responsibilities towards the University and was conceived or reduced to practice without the use of resources of the University, then the invention shall vest with the individual (s)/inventor.

iii. **Financial support** in the form of re-imbursement of patent filing fee on actuals as per the government charges for patent filing. Incentive in the form

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of award of Rs 5000/- on publishing and Rs 7500/- on award of the patent) each for every published/award of the patent. If more than one faculty is involved in the invention then the amount shall be shared equally amongst them.

3.3.2 Copy Rights:

i. The ownership rights in scholarly and academic works generated utilizing resources of the University, including books, articles, student projects/dissertations/ theses, lecture notes, audio or visual aids for giving lectures shall ordinarily be vested with the author(s).

ii. The ownership rights in lecture videos or Massive Open Online Courses (MOOCs), films, plays, and musical works, institutional materials including, but not limited to, course syllabi, curricula, exam questions, exam instructions, and papers/ reports specifically commissioned by the University, shall ordinarily be vested with the University. The moral rights shall continue to vest with the author(s) wherever applicable.

3.3.3 IP generated in collaboration with the External partners:

i. With regard to research conducted in collaboration with external partners, ownership of IP shall be determined as per the terms and conditions in the agreement signed between the concerned parties. However, unless agreed upon explicitly, the University shall normally retain perpetual, royalty free license to use the IP for research and educational purposes.

ii. In the absence of a specific agreement between the University, and the external partner, who is providing support for research, the IP rights shall be shared amongst the concerned parties, similar to the royalty proportion set out under "Licensing and Revenue Sharing" section in these model guidelines.

3.3.4 Commercialization and Benefit Sharing:

a. Types of Licensing and assignment:

Licensing and assignment of IPRs to a third party are the most common modes of IP transfer that can lead towards commercialization of IP. While both licensing and assignment involves giving certain rights to another party, the key difference is that assignment involves transfer of ownership, while licensing is limited to permitting certain uses.

In general, it is recommended that the University should try to use the mechanism of licensing, so that ownership rights on the IP may be retained without hindering the prospects of commercialization. Given below are some types of licensing that may be used:

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1. **Exclusive licensing**: The licensor licenses the IP solely to one licensee. In other words, the licensee will be the only one authorized by the licensor to use and exploit the IP in question. To the extent possible, exclusive licenses should be avoided.
2. **Non-exclusive licensing**: The licensor is permitted to enter into agreements with more than one entity for use and exploitation of the IP. In other words, the same IP may be used by different licensees at the same time for the same purpose or for different purposes.
3. **Sub-licensing**: This is applicable when a licensee wishes to further license the IP to another party(s). Permissions pertaining to sub-licensing need to be clarified explicitly in the agreement between the University/ researchers and licensee(s).

b. Licensing Agreements & Revenue Sharing:

i. The University is free to enter into revenue sharing agreement(s) with the researcher(s), in cases of commercialization of innovation(s), creation(s), etc., as per the advice of the Standing Committee constituted for the purpose. The details of revenue sharing may be decided, based on the type of IP and the nature of commercialization. The University may adopt various models for royalty sharing amongst creator(s)/ inventor(s) and institution/ organization; a suggestive arrangement is given below:
60:40 ratio of revenue sharing: 60% of the royalty/ technology transfer amount with the researcher and 40% with the University.

ii. In case the IP filing costs were not borne by the University, the researcher maybe allowed to first deduct the costs incurred for filing of applications and maintenance of such IP, from any income accruing from the commercial exploitation of the IP. This is particularly relevant, as provisional patent applications may have to be filed by the innovators before any disclosure of the innovation. Only the income beyond such costs needs to be shared with the University.

iii. The researcher's share may continue to be paid, irrespective of whether or not the individual continues as a researcher at the University.
iv. If more than one researcher is involved in the generation of IP, all the researchers who qualify for benefit sharing in that IP may sign at the time of filing the application (for example, at the time of filing of patent application), an agreement outlining the proposed distribution of any IP- related earnings based on their contribution. The agreement should specify the proportional percentage of distribution of earnings from IP to each of the researchers. The researcher(s) may, at any time, by mutual consent, revise the distribution of IP earnings agreement, and the University, may approve the revised agreement, subject to the advice of the IP cell.

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v. With regard to the IP-related revenues earned by the University, 50% of the revenue may be used for creating the University IP management fund. This fund may be utilized for any activity relating to commercialization and maintenance of IPR or obtaining IPR in any other country, or for capacity building in the area of IP protection. Further, 10% of the share may be paid to the University as administrative charges, and 40% may be made available to the concerned department for the purchase of equipment or materials, including Annual Maintenance Contracts (AMC), or for any other academic/research activity, including promotion of science and innovation.

vi. In the case where the copyright vests with the author(s) [as mentioned under clause 3.3.2, "IP generated from research conducted by utilizing resources of the University>II.Copy right"], the University shall have a non-exclusive, royalty free, irrevocable, and worldwide license to use the IP for research, non-commercial and educational purposes. Additionally, in cases where the University is the owner of copyright in lecture videos and/or MOOCs, the author(s) shall have a non-exclusive, royalty free, irrevocable, and worldwide license to use the IP for research, non- commercial and educational purposes.

3.3.5 Encouraging Entrepreneurship and Start-ups:

To promote and encourage entrepreneurial activities by its staff, the University, may reassign, under an agreement, its ownership of an intellectual property to the inventor(s) or creator(s) of the property, who opt to market, protect and license it on their own with minimal involvement of the University. The fees to be paid to the University by the assignee consist of all patenting and licensing expenses and appropriate amount of royalties, equity or other value received by the inventor(s) or creator(s).

The University would endeavor to exploit the IP either by itself or by commissioning an agency to bring to fruition the IP produced by its personnel. The inventor(s)/creator(s) may seek the University, to assign the rights to them after a certain holding period.

To promote a start-up/ venture set up by a researcher, it may be exempted from any upfront fee and/or royalty accrued to the University for a certain period.

3.4 Financial Assistance to students & faculty for participation in cultural/sports and other events:

For nomination of students, to participate in different events organized outside the University campus, a committee consisting of two faculty members and two students from each batch of the programme would be constituted and approved by the College Principal/Director concerned. The

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student names recommended by the Committee and the concerned College Principal/Director and approved by the Vice Chancellor would be allowed to participate in different events.

The following norms shall be apply for financial assistance:

i. **Norms for students nominated for specific events**: Travelling expenses (by roadways or sleeper class railways and registration charges on actual shall be borne by the University and for boarding & lodging, maximum up to Rs 600 per student per day (Wherever applicable) will be reimbursed by the University.

ii. **Norms for faculty accompanying the students**: Faculty member (s) accompanying the students shall be entitled for the reimbursement of registration fee (wherever applicable). Reimbursement of travelling expenses (Three tier AC train) and boarding & lodging expenses as per the actuals, subject to a maximum of Rs 2500/- per day shall be permissible.

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