



TEERTHANKER MAHAVEER UNIVERSITY

(Established under Govt. of U. P. Act No. 30, 2008)

Delhi Road, Moradabad (U.P)

jointregistrar@tmu.ac.in

Phone No.:	0591-2476813	Date:	08-January-2026
Ref. No :	TMU/R.O./2025-26/Adm/61	Prepared by:	Associate Dean R&D
Policy No :	5.1	Issued by:	Joint Registrar
Total Pages :	19	Approved by:	Vice Chancellor

TEERTHANKER MAHAVEER UNIVERSITY (TMU) IPR POLICY

- These guidelines shall be called the Teerthanker Mahaveer University (TMU) IPR Policy.
- These guidelines shall apply to all faculty members, staff, research fellows, Ph.D. scholars, students, and other stakeholders of Teerthanker Mahaveer University (TMU).
- These policy guidelines shall come into force with effect from the date of approval.

I. PREAMBLE

Teerthanker Mahaveer University (TMU) is committed to fostering a culture of innovation, research excellence, and knowledge dissemination. A core mission of TMU is to nurture human intellectual potential to its fullest by promoting original thinking, creativity, and innovation among its faculty, students, and researchers. Recognizing the diverse and dynamic nature of research and development (R&D) across the University, TMU acknowledges the importance of protecting and managing intellectual assets arising from these activities. The University community regularly engages in the creation of valuable intellectual property (IP) in the form of patents, copyrights, trademarks, and industrial designs.

To maximize the societal and economic impact of its innovations, TMU actively supports the protection and commercialization of intellectual property. The University encourages the licensing of its IP to industries, startups, and organizations capable of bringing these innovations to market, thereby contributing to national development and generating revenue for reinvestment into research. Additionally, TMU strongly encourages its students, researchers, and faculty members to establish startups leveraging University-generated IP, fostering an entrepreneurial ecosystem within the campus. In line with this vision, TMU has established the Intellectual Property Rights (IPR) Cell under the governance of the Research and Development Center (RDC). The RDC evaluates the novelty, feasibility, and utility of inventions and facilitates their protection through formal IPR filings. Importantly, TMU promotes a fair and rewarding incentive system for inventors. In cases where University-owned intellectual property is commercialized, the net revenue generated shall be distributed as follows: 60% to the inventor(s)/creator(s)/author(s), and the remaining 40% to the University. This revenue-sharing model is designed to incentivize innovation while supporting academic units and institutional growth. This model aims to recognize the contribution of innovators

Dr. Vaibhav Rastogi

Joint Registrar
Teerthanker Mahaveer University
Moradabad

while ensuring sustainable support for institutional research infrastructure. This Intellectual Property Policy, developed by the RDC, provides a structured framework for the creation, protection, ownership, and commercialization of intellectual property developed at TMU. It aims to safeguard the interests of all stakeholders while advancing the University's commitment to innovation and societal benefit.

II. PURPOSE AND OBJECTIVE

The primary objectives of Teerthanker Mahaveer University's (TMU) Intellectual Property Rights (IPR) Policy are to:

- Foster a culture of innovation and creativity among faculty, researchers, and students, leading to the development of novel ideas, technologies, and knowledge assets.
- Facilitate the identification, protection, and commercialization of intellectual property generated within the University through a structured mechanism administered by the Research and Development Center (RDC).
- Support inventors in evaluating the patentability, feasibility, and commercial potential of their research outcomes, with assistance for both national and international IP filings.
- Promote the effective transfer of University-generated intellectual assets to industry, startups, and other commercial entities, thereby contributing to societal advancement and economic growth.
- Encourage and support faculty members, researchers, and students in launching startups based on University-owned or jointly developed intellectual property, as part of the University's commitment to nurturing entrepreneurship and innovation-driven enterprises.
- Provide capacity-building through education and training on IPR awareness, filing procedures, commercialization strategies, and international IP systems to empower the University community.
- Establish a transparent and equitable administrative framework for the ownership, management, licensing, and revenue sharing of IP developed at TMU.
- Reward successful commercialization of University IP, with 60% to the inventor(s)/creator(s)/author(s), and the remaining 40% to the University for reinvestment into research, innovation promotion, and IPR facilitation activities.

III. DEFINITIONS

The terms used in this policy shall have the meanings as defined below, unless the context requires otherwise:



a) Intellectual Property (IP)

Intellectual Property refers to any form of knowledge, innovation, or creative expression resulting from intellectual effort that is eligible for legal protection. The following categories are typically recognized:

- i. Patents: Scientific or technological inventions, including new processes, products, compositions, biological materials, or software, that satisfy the criteria of novelty, inventive step, and industrial applicability as defined under relevant patent laws.
- ii. Industrial Designs: The aesthetic or ornamental features of an article—such as shape, configuration, pattern, or color that enhance its visual appeal and are capable of being protected under applicable design laws.
- iii. Copyrights: Original literary, artistic, and creative works such as books, teaching materials, research papers, software code, musical compositions, and multimedia content, which are protected under copyright legislation.
- iv. Trademarks: Unique signs, symbols, names, or logos that distinguish the goods or services of one entity from those of others in the marketplace, and are protected under trademark law.
- v. Geographical Indications (GIs): Identifiers used on products that originate from a specific geographical area and possess qualities, reputation, or characteristics that are inherently linked to that location.
- vi. Integrated Circuit Layout Designs: The three-dimensional configuration of electronic circuits used in microchips and semiconductor devices, which are eligible for protection under relevant laws governing layout-designs of integrated circuits.
- vii. Plant Varieties: New, distinct, uniform, and stable plant varieties developed through breeding or discovery, including extant and farmers' varieties, which are eligible for protection under the Protection of Plant Varieties and Farmers' Rights Act, 2001, as amended from time to time.

b) University

Refers to Teerthanker Mahaveer University (TMU), including all its departments, research centers and constituent colleges.

c) Vice Chancellor

The Vice Chancellor of Teerthanker Mahaveer University (TMU), serving as the final authority in all matters pertaining to University policy, including decisions related to intellectual property and its governance.



d) Governing Authority

All matters related to Intellectual Property Rights (IPRs) at Teerthanker Mahaveer University (TMU) shall be assessed, governed, and approved by the Intellectual Property Rights (IPR) Cell under the governance of the Research and Development center (RDC). The RDC serves as the nodal body responsible for the evaluation, documentation, protection, and management of IP generated within the University ecosystem.

e) Third Party

For the purpose of this policy, a third party refers to any individual, organization, or legal entity that is not a student, employee, faculty member, or officially affiliated member of Teerthanker Mahaveer University (TMU). This includes, but is not limited to, private companies, public sector undertakings, other academic or research institutions, start-ups, incubators, accelerators, technology transfer offices (TTOs), legal or IPR firms, patent/trademark agents, and consultants.

Third parties may engage with the University for the purpose of licensing, commercialization, collaborative research, legal representation, or any other activities involving intellectual property developed at or associated with TMU.

f) IPR Intake Documentation

To ensure uniformity, proper evaluation, and timely processing of intellectual property filings, the IPR Cell shall implement a standardized IPR intake form system. This system will serve as the formal entry point for all IP-related submissions and shall include the following:

- Invention Disclosure Form (IDF) for patents: To document technical details, novelty, prior art, and inventor contribution.
- Copyright Intake Form: To capture authorship, originality, category of work, and any institutional involvement.
- Design Intake Form: To document industrial design features, originality, visual aspects, and use of the TMU resources.
- Trademark Intake Form: For brand names, logos, taglines, or other identifiers developed under TMU-supported initiatives.
- Integrated Circuit Layout Intake Form: To document the design, function, originality, and layout pattern of integrated circuits developed using TMU resources.

All individuals (faculty, researchers, students, staff) seeking IP protection must submit the appropriate intake form to the IPR Cell. These forms will serve as the basis for evaluation by



the IPR Cell, and no filing shall proceed without the successful submission and approval of the corresponding intake documentation.

g) Inventor/Author/Creator

Any employee of Teerthanker Mahaveer University (TMU), including those on probation, those employed either by the University or through projects as well as research workers, research scholars, or students who are responsible for the creation of Intellectual Property using the facilities of the University.

h) Significant Use of University Resources

Substantial utilization of university physical, financial, human, or intellectual resources in the creation or development of intellectual property. This includes extended use of labs, specialized equipment/software, direct institutional funding/support, and considerable involvement of university personnel. Incidental use (like library access) does not typically constitute significant use.

i) Course Material

Any content, resources, or educational aids developed for instruction, including lecture notes, slides, syllabi, curricula, audio/video content, educational software, digital modules, and assessment tools.

j) Patentee

The individual or legal entity entered in the Register of Patents as the lawful proprietor or assignee of a granted patent under the Indian Patents Act, 1970. In the context of Teerthanker Mahaveer University (TMU), the University shall ordinarily be the patentee or applicant for patents filed with its support, with the inventor(s) duly acknowledged as inventors. A Certificate of Inventorship may be issued by the Intellectual Property Rights (IPR) Cell upon request by the inventors, subject to verification of their contribution.

k) Industrial Design Applicant/Owner

For industrial designs filed under the Designs Act, 2000, the legal applicant is the individual or entity listed in the official Register of Designs. In the case of designs created using the resources/support of Teerthanker Mahaveer University (TMU), TMU shall be the applicant, and the creator of the design shall be duly acknowledged as a co-applicant in the filing.



l) Copyright Ownership

Under the provisions of the Copyright Act, 1957, all copyrights—including those for literary, artistic, dramatic, software, educational materials, or designs—shall be owned by Teerthanker Mahaveer University (TMU) when created as part of any academic program of TMU or pursuant to a written agreement with TMU that provides for the transfer of copyright or ownership to the university. More specifically:

- a) TMU shall own the copyright of all teaching materials created by TMU and non-TMU personnel for external agencies, institutions, and industries under its continuing education, online or distance education programs. However, the authors shall retain the right to use such materials for their own teaching and research activities.
- b) TMU shall not claim ownership of copyrights for books and scientific articles authored independently by TMU personnel. However, if books or reports are created as an outcome of any conference, seminar, Faculty Development Program (FDP), conclave, workshop, etc., using funds specifically provided by TMU for that purpose, the copyright shall belong to TMU.
- c) If any employee wishes to retain sole ownership of the copyright for works such as literary, artistic, dramatic, software, educational materials, or designs, they must obtain a No Objection Certificate (NOC) from the university prior to filing the copyright application.
- d) The ownership rights of any dissertation or thesis shall belong to TMU.

m) Trademark Ownership

Under the Trade Marks Act, 1999, a trademark is a distinctive sign, symbol, name, logo, design, or expression that identifies and distinguishes the products or services of a particular source. At Teerthanker Mahaveer University (TMU), any trademark or brand name developed as part of University-funded or University-supported initiatives, including academic or research programs, incubation projects, student competitions, or through the use of TMU's infrastructure, resources, or guidance, shall be filed and owned in the name of TMU as the applicant/legal proprietor. The creator(s), innovator(s), or contributor(s) such as faculty, students, or staff or any former employees shall be duly acknowledged in internal records and may be recognized as co-developers or contributors for academic or institutional purposes. However, this does not confer legal ownership of the trademark unless a specific agreement stating otherwise is executed in writing.

n) Geographical Indications (GIs)

Under the applicable laws, a Geographical Indication (GI) is an identifier used on products that originate from a specific geographical location and possess distinct qualities, reputation, or

characteristics inherently linked to that region. At Teerthanker Mahaveer University (TMU), if a GI application is initiated as part of a University-supported project, research initiative, or investigation involving the use of TMU's infrastructure, resources, or academic engagement, the Principal Investigator (PI) and research contributors shall be acknowledged as the investigators. The GI application shall typically be filed in the name of the concerned trust, community, or producer group as required under GI law. However, the PI's address in the application shall reflect their affiliation with TMU, ensuring institutional visibility and recognition.

o) Integrated Circuit Layout Designs

Integrated Circuit Layout Designs refer to the three-dimensional configurations of electronic circuits used in microchips and semiconductor devices and are protected under the Semiconductor Integrated Circuits Layout-Design Act, 2000. At Teerthanker Mahaveer University (TMU), any integrated circuit layout design developed using University funding, facilities, or during the course of employment or academic engagement shall be owned by TMU, and the layout design shall be filed in the name of TMU as the legal proprietor. The contributors, including faculty, students, or staff involved in the development, shall be acknowledged as inventors or designers in the University's records, and recognized appropriately for their contribution.

p) Ownership Rights Over Plant Varieties

Teerthanker Mahaveer University (TMU) recognizes and upholds the importance of protecting innovations in the field of plant breeding. The University adheres to the provisions of the Protection of Plant Varieties and Farmers' Rights Act, 2001, as amended from time to time. TMU shall facilitate the protection of eligible Plant Varieties developed by its faculty, researchers, or students under this Act, subject to the fulfillment of statutory requirements and compliance with institutional procedures. The ownership rights over any Plant Variety developed using the resources of the University shall vest with TMU. However, if it is determined that a Plant Variety was developed independently by an individual or group of individuals—entirely on their own time, unrelated to their assigned responsibilities at TMU, and without the use of any institutional resources—the ownership rights shall vest with the individual(s) concerned.

q) Compliance with The Biological Diversity Act, 2002

All research activities, academic projects, and intellectual property applications undertaken under the aegis of Teerthanker Mahaveer University (TMU) that utilize, involve, or are derived

from India's biological resources and/or associated traditional knowledge must fully comply with the provisions of The Biological Diversity Act, 2002. This includes, but is not limited to, research leading to intellectual property (IP) such as patents, copyrights, trademarks, or any other IP rights involving biological material or knowledge of Indian origin.

Before initiating any IP application related to such biological resources or traditional knowledge, the involved personnel including faculty members, staff, research fellows, Ph.D. scholars, students, and other stakeholders are required to obtain prior approval or necessary permissions from the National Biodiversity Authority (NBA), as mandated under the Act. The University shall provide guidance and support in facilitating the approval process. TMU will not be liable for any IP application or activity that fails to adhere to these statutory obligations.

r) Student-Created Intellectual Property (IP)

Students normally own the intellectual property (IP) they develop as part of coursework or independent academic activities, provided they use only standard University resources (such as general computer labs, libraries, and standard software). For works involving collaborative research with faculty or where there is substantial use of university resources or funding (for example, lab work, funded research, or sponsored projects), the University may claim ownership or joint ownership of the resulting IP. Often, students must assign IP rights to the University as a condition of participation or receipt of funding for such projects. If a student is working as an intern or research fellow in the University or paid research assistant, any IP developed within the scope of those job duties or as a result of funded research is typically owned by the University, not the student. This includes, but is not limited to, inventions, creative works, software, patents, designs, trademarks, and other intellectual property generated as part of employment or funded assignments.

s) Ownership of Independently Developed Intellectual Property

Works created without significant university support meaning those developed, owned solely by their creators (whether faculty, staff, or students). Standard resources, such as general access to libraries, ordinary computer labs, or basic administrative support, do not constitute significant support. Therefore, if intellectual property (IP) including but not without substantial use of university resources, funding, or personnel are typically limited to inventions, designs, trademarks, software, or copyrightable works is generated independently and outside formal university projects, the individual creator usually retains all ownership rights, unless otherwise specified by written agreements or sponsorship terms. This approach aligns with best practices and upholds academic freedom and individual incentive to innovate.



t) Revenue

Revenue refers to any financial or in-kind returns received by the University from the licensing, assignment, or commercialization of its intellectual property. This includes, but is not limited to, royalty payments, milestone payments, equity shares, or lump sum considerations received under legally binding agreements. Such revenue shall be managed in accordance with the University's policies on IP ownership, benefit sharing, and reinvestment in research and innovation.

IV. SCOPE OF THE POLICY

1. The Intellectual Property Rights (IPR) Cell, under the governance of the Research and Development Centre (RDC), shall facilitate and support faculty, researchers, and students in the identification, protection, and commercialization of all forms of intellectual property including inventions, designs, copyrights, trademarks, integrated circuit layouts, software, plant varieties, and artistic or creative works. The rules and regulations outlined in this policy document shall apply to all IP-related activities undertaken by the University. These rules and regulations shall come into effect from the date of notification.
2. Faculty members and students who intend to file any IPR (e.g., patent, copyright, trademark, design, plant varieties, integrated circuit layouts) shall receive necessary advice, assistance, and legal guidance from the Intellectual Property Rights (IPR) Cell.
3. A duly filled IPR Intake Form, specific to the type of Intellectual Property (as provided by the IPR Cell), must be submitted. The form should include complete details of all inventors/authors/creators, along with a comprehensive description of the IPR sought to be protected. This form shall be submitted to the IPR Cell for evaluation and consideration for filing.
4. All disclosures related to Intellectual Property Rights (IPRs) and associated documents shall be treated with strict confidentiality by the IPR Cell and all relevant authorities involved in the evaluation and protection process.
5. All costs associated with the filing, prosecution, and maintenance (including renewal fees) of IPRs shall be borne by Teerthanker Mahaveer University (TMU), subject to the approval of the competent authority and in accordance with the University's budgetary provisions and IP strategy.
6. If the Research and Development center (RDC) identifies significant potential in the innovation disclosed by the faculty, a decision may be made to pursue international protection. For patents, this may include filing a Patent Cooperation Treaty (PCT) application, and for trademarks, filing under the Madrid Protocol or directly in foreign



jurisdictions, as applicable. Such filings aim to secure legal protection in multiple countries, subject to feasibility, commercial viability, and strategic interest.

7. The RDC, in coordination with the IPR Cell, shall liaise with the relevant IP offices, legal firms, registered patent/trademark agents, international filing agencies, and the concerned inventors/authors/creators to ensure the effective prosecution, management, and protection of intellectual property both domestically and internationally.
8. Inventors, authors, or creators shall fully cooperate with the IPR Cell by promptly providing all necessary information and documents, in order to avoid delays or penalties during the prosecution of intellectual property.
9. Any work resulting from research, innovation, or academic activities conducted at Teerthanker Mahaveer University (TMU) shall be filed in the name of Teerthanker Mahaveer University (TMU) as the applicant and legal owner of the intellectual property, unless otherwise decided by the RDC based on specific circumstances.
10. The IPR Cell for Intellectual Property Facilitation has to be constituted to advise, support, and guide the IPR related matters like IP filings, strategy, protection, and commercialization. The IPR Cell would take the advice and approvals on policy and strategic matters from University Research Advisory Committee (URAC). The IPR Cell shall include the following members:
 1. Dean (R&D)/ Associate Dean (R&D), Chairperson
 2. Principal of the College Concerned, Member
 3. Associate Dean (Ph.D Cell), Member
 4. Coordinator (R&D), Member
 5. IP Manager, Member Secretary

V. TIMELINES AND TURNAROUND

To ensure transparency and efficiency in the IP management process, the following standard turnaround times shall be observed:

- The IPR Cell shall evaluate each IPR intake form within 10 days from the date of submission. If the disclosed subject matter is found to meet the criteria for intellectual property protection, the IPR Cell will initiate the filing process in consultation with the RDC. In case TMU decides to relinquish its claim over ownership, the decision must be duly documented and approved by the competent authority. However, in such case, the TMU IPR Cell shall not provide any assistance with IP protection.
- The IP filing process (drafting and submission) must be initiated within 10 working days from the date of receipt of the complete intake form.



- For collaborative IP filings or licensing agreements, a draft proposal shall be provided to the concerned parties within 20 working days from the date of the agreement.
- Feedback or a decision on commercialization proposals (e.g., licensing or startup-based utilization) shall be communicated within 20 working days from the date of receipt.

These timelines may be extended only under exceptional circumstances, subject to a written justification by the concerned inventor(s), creator(s), or author(s), and with the approval of the Vice Chancellor.

VI. TERMS AND CONDITIONS IF IP IS NOT FILED BY TMU BUT FILED BY THIRD PARTY

If an employee approaches the university's IPR cell to file intellectual property (IP) for their own work, and the IPR cell believes that the IP is not worth filing, the university may issue a No Objection Certificate (NOC) to the inventor, stating that it has no objection if they choose to file the IP independently.

VII. IP LICENSING AND AGREEMENTS

Teerthanker Mahaveer University (TMU) recognizes the legitimate commercial needs and the importance of protecting intellectual property (IP), particularly for breakthrough technologies. TMU strives to balance these needs with its core academic mission of disseminating research and facilitating the practical application of innovations. IP licensing at TMU is overseen by the Intellectual Property Rights (IPR) Cell in coordination with the Research and Development Center (RDC), which manages the evaluation, marketing, negotiations, and licensing of all University-owned IP.

In certain cases, Teerthanker Mahaveer University (TMU) may engage third-party agencies such as technology transfer offices (TTOs), intellectual property (IP) law firms, commercialization consultants, or industry liaison firms to facilitate the licensing, marketing, or transfer of developed technologies. These agencies assist in identifying potential licensees or investors, drafting and negotiating licensing agreements, assessing market potential, and ensuring legal compliance. Such engagements shall be carried out under mutually agreed terms and in accordance with the provisions of the TMU IPR Policy.

i. Licensing Types

The type of license granted will depend on the nature and commercial potential of the invention or innovation. As an educational institution committed to national development, Teerthanker



Mahaveer University (TMU) generally promotes non-exclusive licensing to enable wider adoption and deployment of University-generated technologies.

- a. **Non-exclusive License:** A non-exclusive license allows multiple licensees to use the same intellectual property (IP) simultaneously. TMU retains the right to license the same IP to other parties, and to use it for its own academic or research purposes.

However, in certain cases—such as when substantial investment is required from the licensee, or when the IP serves as a platform technology TMU may consider granting application-specific, region-specific, or fully exclusive licenses, depending on the strategic and commercial requirements.

- b. **Exclusive License:** An exclusive license grants the licensee sole rights to use the IP within a defined field or territory. TMU agrees not to license the same IP to any other party and may also restrict its own use, subject to terms of the agreement.

All licensing decisions will be preceded by a thorough due diligence process, which includes evaluation of the licensee's business plan, intended use of the IP, investment strategy, market potential, and other relevant factors.

Licenses shall be issued only to registered companies (not individuals) and may be restricted to the specific version of the IP being licensed—excluding any future updates, modifications, or enhancements, unless otherwise agreed upon.

All licensing arrangements will be subject to periodic review by the Intellectual Property Rights (IPR) Cell in coordination with the Research and Development Center (RDC). Based on the outcomes of these reviews—especially in terms of usage status and societal or commercial impact—TMU reserves the right to modify, extend, or terminate the license.

ii. **License Preferences and Exemptions**

If both internal inventors and external organizations express interest in licensing the same TMU-owned IP, preference may be given to the internal inventors, depending on the nature of the technology and other relevant factors.

Regardless of license terms, TMU retains perpetual institute-wide rights for academic and research use, including rights to publish, reuse technical data, and utilize methods or products derived from licensed IP for educational purposes.



In the case of inventions developed by faculty, students, research scholars, or staff during sabbatical, deputation, internships, or external visits, TMU reserves rights for academic usage under research exemption and fair use policies. All stakeholders are encouraged to disclose such inventions through the University's IPR intake form.

iii. **Technology License / Transfer Options**

TMU recognizes the critical role of inventors in successful commercialization. The University may license IP through direct agreements with third parties, via the University incubation center, or through authorized licensing agents. Preference will be given to licensing over outright assignment of IP rights. TMU retains march-in rights for assigned IP to ensure proper utilization.

a) **Technology Licensing**

Technology licensing will follow the prevailing TMU revenue-sharing model, under which revenues shall be shared in a 60:40 ratios, as follows:

- 60% to the inventor(s)/creator(s)/author(s)
- 40% to the University for reinvestment into research, innovation promotion, and IPR facilitation activities
- In cases involving multiple inventors, revenue will be shared equally unless otherwise agreed in writing by the inventors.

This model shall remain in effect for the duration of the commercialization term, unless renegotiated or terminated by mutual agreement. Even if the inventor(s)/creator(s)/author(s) leave the University, the model shall continue. The share of the inventor(s)/creator(s)/author(s) will be declared annually, and disbursement shall be made to them or their legal heirs, regardless of whether they are associated with TMU at the time of disbursement. The Distribution of IP Earnings Agreement may be revised at any time by mutual consent. If any student has made a significant contribution to the development of the intellectual property, they shall be duly credited and included in the Income Distribution Form.

Key features include:

- Preference for non-exclusive licensing, unless exclusivity is warranted
- Exclusive licenses subject to periodic review

b) Licensing Through entities/ organizations

In certain cases, TMU may engage third-party entities/ organizations approved by RDC to facilitate technology transfer/licensing. These agreements will typically involve non-exclusive terms, with periodic reviews to assess the licensee's performance.

If TMU is unable to commercialize the intellectual property (IP) within a reasonable timeframe, the inventor(s)/creator(s)/author(s) may formally request a non-exclusive license to independently pursue commercialization. In such cases, TMU shall not relinquish ownership of the IP; however, it may grant the inventors a time-bound, non-exclusive license to commercialize the IP under mutually agreed terms. The duration and scope of the license shall be determined through mutual agreement between the inventors and the Research Development Center (RDC), in consultation with the Vice Chancellor. This license will be subject to periodic review, and TMU reserves the right to extend, modify, or terminate the license based on performance, impact, and compliance with the agreed terms.

VIII. IPR OWNERSHIP OF TECHNOLOGIES DEVELOPED AT UNIVERSITY

The University IPR Cell shall provide comprehensive support for prior art searches, filing of IPR applications, and facilitation of office action responses, hearings, and the grant of IP rights. If University resources such as research infrastructure, manpower, or funding are used, or if the IPR is developed as part of academic activities, the IP shall be deemed the absolute property of TMU.

The Intellectual Property Rights (IPR) Cell in coordination with the Research and Development Center (RDC) shall oversee the licensing of such IP in consultation with the inventor(s). Licensing arrangements may involve:

- Upfront or one-time technology transfer fees
- Royalty payments as a percentage of product sale price or per product sold
- Equity stake in the licensing company

As TMU cannot legally hold equity, the Teerthanker Mahaveer Innovation Foundation (TMIF), a Section 8 company (implementation agency) for incubation and startup schemes, may be designated to hold equity stakes in startups on behalf of the University, subject to applicable legal and financial governance.

If the inventor(s)/creator(s)/author(s), choose to establish a start-up to commercialize their own intellectual property, the royalty payable to the University shall not exceed 4% of the sale price or net sales. In such cases, the equity stake to be held by the University (or its designated entity) may range between 2% to 5%.

For software products, the revenue-sharing terms shall be mutually agreed upon between the start-up and the University, based on the nature and scope of commercialization.

In other cases, where TMU faculty members or students (who are not the original inventors or creators) express interest in establishing a start-up or commercializing existing University-owned IP, the royalty payable shall not exceed 6% of the sale price or net sales (turnover). The equity stake in such cases may range between 3% to 5%.

For University-supported IP, the IPR Cell and the Incubation Center shall facilitate its protection and commercialization. IP generated without the use of University resources shall remain entirely under the control of the inventors, including decisions related to its filing, unless otherwise decided by the Research and Development Center (RDC) with the advice of the Vice Chancellor.

IX. JOINT IP OWNERSHIP AND EXTERNAL COLLABORATIONS

a) Collaborative IP Ownership

In cases where intellectual property is developed through collaborations with external organizations, such as academic institutions, research organizations, industry partners, or funding agencies, the ownership of the resulting IP shall be governed by the terms outlined in the Memorandum of Understanding (MoU), contract, or agreement executed between TMU and the external party.

b) MoU and Contractual Obligations

All collaborative research and development engagements must be formalized through a legally binding MoU or agreement that clearly outlines:

- Ownership of foreground and background IP
- Revenue-sharing arrangements
- Licensing rights (exclusive/non-exclusive)
- Responsibilities for IP filing and maintenance costs
- Dispute resolution mechanisms
- Confidentiality obligations and publication rights

c) Default Position

In the absence of a specific agreement, IP developed jointly using TMU's resources or infrastructure shall be co-owned by TMU and the collaborating entity, with TMU listed as at least one of the applicants in any IP filing.



d) Due Diligence

Prior to entering any collaboration, the Intellectual Property Rights (IPR) Cell in coordination with the Research and Development Center (RDC) shall ensure that all agreements comply with national IP laws and TMU's institutional interests.

e) Approval

All MoUs and collaborative agreements involving potential IP generation must be vetted by the Intellectual Property Rights (IPR) Cell in coordination with the Research and Development Center (RDC) and approved by the Vice Chancellor or the designated authority.

X. DEFINITIONS AND OWNERSHIP OF DIGITAL AND EMERGING IP

a) Data and Databases

Data generated through research conducted at TMU, including structured and unstructured datasets, shall be considered intellectual assets of the University if created using TMU resources or as part of institutional projects. Databases developed or curated using University infrastructure shall be co-owned by TMU and the contributors, unless otherwise governed by project-specific agreements.

b) Software

Software developed using TMU resources, funding, or during the course of institutional assignments shall be owned by TMU. The creators (faculty, researchers, or students) shall be acknowledged as inventors or developers, and any commercialization shall follow the standard revenue-sharing model.

c) Digital Platforms and Tools

Web-based platforms, mobile applications, and digital systems created under TMU initiatives shall be the property of the University. TMU reserves the right to license, modify, or distribute such tools in accordance with its institutional objectives and agreements.

d) AI-Created Works

Outputs generated using Artificial Intelligence (AI) tools under TMU-supported research, when directed or substantially influenced by human intervention, shall be subject to the same ownership rules as conventional creative or inventive works. Where AI autonomously contributes to content generation, ownership shall rest with the individual or team responsible for conceptualizing and deploying the AI model.

XI. RENEWAL OF INTELLECTUAL PROPERTY RIGHTS

The decision to renew any form of Intellectual Property (IP) registered under the name of Teerthanker Mahaveer University (TMU) shall rest with the University's IPR Cell, in coordination with the Research Development Center (RDC). The evaluation for renewal will

be based on the relevance, commercial potential, academic or strategic value, and associated maintenance costs of the IP.

This policy shall also apply to technologies developed through collaboration with external entities or licensees, as per the terms and conditions defined in the respective Memorandum of Understanding (MoU) or licensing agreement. The decision to renew such jointly developed or licensed IP shall consider the mutual obligations, revenue generation potential, and strategic interests of TMU and its partners. In all cases, the IPR Cell and RDC shall ensure that renewal decisions are made in a timely and transparent manner, balancing institutional goals with long-term innovation outcomes.

XII. GRIEVANCE REDRESSAL MECHANISM

TMU shall establish a structured two-tier system for addressing grievances related to intellectual property rights filing, ownership, commercialization, and related disputes.

Tier 1: IPR Appeals Committee: The first level of redressal shall be handled by the IPR Appeals Committee, comprising:

- One senior faculty member with experience in IP law or commercialization (Chair)
- One legal advisor with IP expertise
- One representative from the IPR Cell
- One representative from the Research and Development Center (RDC)
- One external domain expert

The committee shall review grievances submitted by inventors, authors, or collaborators within 30 days of receipt and provide recommendations based on the facts and applicable policies. A final decision shall be made after holding a detailed meeting with the Heads of the relevant Departments, the inventors, and all other parties involved in the dispute.

Tier 2: Final Arbitration: If a party is dissatisfied with the decision of the IPR Appeals Committee, they may escalate the matter in writing to the Vice Chancellor, whose decision shall be final and binding.

XIII. MONITORING, AUDITS, AND REPORTING

a) Annual IP Audit

The IPR Cell, in coordination with the Research and Development Center (RDC), shall conduct an annual Intellectual Property (IP) audit to assess the University's IP portfolio and evaluate the effectiveness of IP generation, protection, and commercialization activities.

Audit Objectives Shall Include:

- Reviewing the number of IPR intake form, IP filings (patents, copyrights, trademarks, designs, and others)
- Monitoring the status of filed applications (filed, published, granted, abandoned)
- Evaluating the number of licenses, assignments, or technology transfers
- Recording revenues generated through IP commercialization
- Identifying startups incubated based on University-owned IP
- Assessing compliance with timelines, agreements, and obligations

b) Reporting

The findings of the annual IP audit shall be compiled into a report and presented to the Committee for Intellectual Property Facilitation and Advisory. A summarized version may be included in the University's annual R&D/Innovation report or strategic plan to track progress and guide decision-making.

XIV. IP AWARENESS AND CAPACITY BUILDING

To promote a culture of innovation and informed IP practices, the RDC and IPR Cell shall organize regular outreach and educational initiatives, including:

- Mandatory annual IPR training sessions for faculty, research staff, and students
- IP bootcamps focused on innovation disclosure, patent searching, drafting, and filing procedures
- IP Awareness Week every academic year to promote intellectual property literacy, showcase University IP achievements, and encourage participation in innovation and IP protection

These activities will be planned in coordination with academic departments and included in the University's academic calendar and innovation promotion strategy.

XV. RECOGNITION AND INCENTIVE FOR IP FILINGS

To promote a culture of innovation and active participation in intellectual property generation, Teerthanker Mahaveer University (TMU) shall recognize and reward faculty members, researchers, staffs and students who demonstrate outstanding contributions in IP creation. The following measures shall be implemented:

- Annual awards may be given to individuals or departments/colleges filing the highest number of IP creations.
- Additional recognition may be extended for IP that is granted, licensed, or commercialized.



- Awardees shall be recognized during University events such as Research Day, IPR Day, and other relevant occasions.

The IPR Cell shall maintain a database of filings and coordinate with the RDC to evaluate and recommend deserving candidates annually.

XVI. LEGAL AND ETHICAL CLAUSES

- Infringement, Liability & Indemnity:** TMU shall include appropriate indemnity clauses in all agreements with licensees, covering aspects such as manufacturing defects, misuse, debugging responsibilities, and liability. TMU reserves the right to decide whether or not to pursue litigation in cases of IP infringement.
- Conflict of Interest:** Inventors must disclose any personal or familial stake in a company that is seeking to license TMU-owned IP. Such licensing or assignment arrangements shall require the prior approval of the Vice Chancellor.
- Dispute Resolution:** Any legal matter arising under this policy shall be referred to the Vice Chancellor. The Vice Chancellor may forward the case to the University Research Advisory Committee (URAC) if deemed necessary. The decision of the Vice Chancellor, based on the recommendation of the URAC, shall be final and binding on all parties.
- Jurisdiction:** All legal matters related to IP agreements shall fall under the jurisdiction of Moradabad, Uttar Pradesh, and be governed by the applicable laws of India.
- Legal Disclaimer:** This policy document is intended for general guidance and does not constitute legal advice. In matters of legal complexity, TMU or the concerned inventors are encouraged to consult a qualified intellectual property lawyer.

XVII. POLICY REVIEW AND REVISION

To ensure the IPR Policy remains relevant and aligned with evolving national and international standards, the Intellectual Property Rights (IPR) Cell and the Research and Development Center (RDC) shall undertake a comprehensive review of this policy from time to time.

Based on the review, necessary updates, amendments, or procedural enhancements shall be proposed and, upon approval by the competent authority, incorporated into the official version of the policy. Inputs may also be solicited from stakeholders including faculty, staffs, students, legal experts, and industry partners as part of the revision process.



Dr. Vaibhav Rastogi

Dr. Vaibhav Rastogi (Joint Registrar)

Joint Registrar

Teerthanker Mahaveer University
Moradabad



TEERTHANKER MAHAVEER UNIVERSITY

(Established under Govt. of U. P. Act No. 30, 2008)

Delhi Road, Moradabad (U.P)

Phone No.: 0591-2476813

Email.: jointregistrar@tmu.ac.in

Ref. : TMU/R.O./2025-26/ADM/61

Date : 08.01.2026

Circular

Subject- Revised "TEERTHANKER MAHAVEER UNIVERSITY (TMU) IPR POLICY"

With reference to the above-mentioned subject, this is to inform all Deans/Principals/HoDs that the existing "Intellectual Property Right Policy" (Policy 5.0) has been revised.

The revised policy (Policy-5.1) is now titled as:

"TEERTHANKER MAHAVEER UNIVERSITY (TMU) IPR POLICY"

A copy of the revised policy is attached herewith for your kind reference. Please note that the earlier version of the policy 5.0 (Intellectual Property Right Policy) shall stand superseded upon the release of this revised policy.

For further clarification on the Policy, please reach out to Associate Dean (R&D) at associatedean.rd@tmu.ac.in.

Kindly note that this revised policy shall be placed for ratification in the upcoming meeting of the Academic Council.

This is issued with the approval of Hon'ble Vice Chancellor.

Dr. Vaibhav Rastogi

(Joint Registrar)

Dr. Vaibhav Rastogi

Joint Registrar

Teerthanker Mahaveer University
Moradabad

Encl.: Revised Policy 5.1 - TEERTHANKER MAHAVEER UNIVERSITY (TMU) IPR POLICY

Copy to:-

I

1. P.S. to Hon'ble Chancellor for info. Please
2. P.S. to Hon'ble Group Vice Chairman for info. Please
3. Hon'ble Executive Director
4. Registrar
5. Director (Administration)
6. Director- Governance (Dental & Allied Health Sciences)
7. Director HR
8. Director (Accounts)
9. Director (IQAC)
10. Finance Officer
11. Guard File

II

1. Hon'ble Vice Chancellor
2. Dean (Academics)
3. Controller of Examinations
4. Deans/ Principals/ HoDs
5. Dean Students' Welfare
6. Director (CTLD)
7. Director (CDOE)
8. Associate Dean (R&D)
9. Associate Dean (Academics)
10. Associate Dean (Ph.D. Cell)
11. University Librarian